



GP/3737
PATENT

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on March 7, 2002.

Brandy Dicker

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Frank Sharp, et al. : Paper No.:
Serial No.: 09/996,275 : Group Art Unit: 3737
Filing Date: November 28, 2001 : Examiner:
For: **Blood Assessment of Injury**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 1.97-1.98, Applicants cite and submit copies of the references listed on the attached Form PTO-1449.

As the present Statement is submitted prior to issuance of a first Official Action, no certification or fee under 37 C.F.R. 1.97 is required. However, please charge any fee required in connection with this Statement to Deposit Account No. 04-1133.

Respectfully submitted,

Holly D. Kozlowski
Holly D. Kozlowski, Reg. No. 30,468
Attorney for Applicants
DINSMORE & SHOHL LLP
1900 Chemed Center
255 E. Fifth Street
Cincinnati, Ohio 45202
(513) 977-8568

RECEIVED
MAR 15 2002
PTO 3700 MAIL ROOM

FORM PTO - 1449
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

ATTY DOCKET. 10738-29
APPLICANT: SHARP, Frank et al
FILING DATE: November 28, 2001
FOR: BLOOD ASSESSMENT OF INJURY

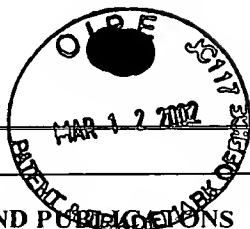
SERIAL NO.
09/996,275
GROUP: 3737

UNITED STATES LETTERS PATENT

Exr. Init.		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS
	aa	4	9	8	1	7	8	3	January 1, 1991	Augenlicht		
	ab	5	8	6	1	2	4	8	January 19, 1999	Russell et al.		
	ac	5	8	8	2	8	6	4	March 16, 1999	An et al.		
	ad	5	9	6	5	3	5	2	October 12, 1999	Stoughton et al.		
	ae	5	9	7	2	6	1	5	October 26, 1999	An et al.		
	af	5	9	8	9	8	1	1	November 23, 1999	Veltri et al.		
	ag	5	9	9	4	0	7	6	November 30, 1999	Chenchik et al.		
	ah	6	0	3	3	8	6	0	March 7, 2000	Lockhart et al.		
	ai	6	0	4	0	1	3	8	March 21, 2000	Lockhart et al.		
	aj	6	0	8	4	0	8	3	July 4, 2000	Levinson		
	ak	6	0	9	0	5	5	9	July 18, 2000	Russell et al.		
	al	6	1	1	0	7	1	1	August 29, 2000	Serafini et al.		
	am	6	1	7	1	7	9	6	January 9, 2001	An et al.		
	an	6	1	7	7	2	4	8	January 23, 2001	Oliner et al.		
	ao	6	1	9	0	8	5	7	February 20, 2001	Ralph et al.		
	ap	6	2	0	3	9	8	7	March 20, 2001	Friend et al.		
	aq	6	2	1	8	1	2	2	April 17, 2001	Friend et al.		
	ar	6	2	1	8	5	2	9	April 17, 2001	An et al.		
	as	6	2	2	1	6	0	0	April 24, 2001	MacLeod et al.		
	at	6	2	2	8	5	8	9	May 8, 2001	Brenner		
	au	6	2	3	2	0	6	5	May 15, 2001	Robinson et al.		

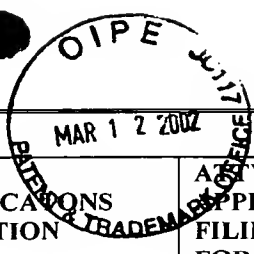
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS
	ba	WO	97	1	2	2	4	7	April 3, 1997	WO		
	bb	WO	98	0	4	6	8	9	February 5, 1998	WO		
	bc	WO	98	2	4	9	3	5	June 11, 1998	WO		
	bd	WO	98	5	3	3	1	9	November 26, 1998	WO		
	be	WO	99	3	7	8	1	1	July 29, 1999	WO		
	bf	WO	99	4	9	0	8	3	September 30, 1999	WO		
	bg	WO	99	5	7	1	3	0	November 11, 1999	WO		
	bh	WO	99	5	8	7	2	0	November 18, 1999	WO		
	bi	WO	99	5	9	0	3	7	November 18, 1999	WO		
	bj	WO	99	6	6	0	2	4	December 23, 1999	WO		
	bk	WO	00	2	4	9	3	6	May 4, 2000	WO		
	bl	WO	00	2	8	0	9	2	May 18, 2000	WO		
	bm	WO	00	3	9	3	3	7	July 6, 2000	WO		
	bn	WO	00	3	9	3	3	8	July 6, 2000	WO		
	bo	WO	00	3	9	3	3	9	July 6, 2000	WO		



PTO FORM 4/92										Page 1 of 3			
FORM PTO - 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT										ATTY DOCKET. 10738-29 APPLICANT: SHARP, Frank et al FILING DATE: November 28, 2001 FOR: BLOOD ASSESSMENT OF INJURY		SERIAL NO. 09/996,275 GROUP: 3737	
	bp	WO	00	3	9	3	4	0	July 6, 2000	WO			
	bq	WO	00	3	9	3	4	1	July 6, 2000	WO			
	br	WO	00	7	0	3	4	0	November 23, 2000	WO			
	bs	WO	00	8	6	2	4	6	August 24, 1983	EP			
	bt	WO	01	1	1	0	8	2	February 15, 2001	WO			
	bu	WO	01	1	2	8	5	1	February 22, 2001	WO			
	bv	WO	01	2	0	0	4	3	March 22, 2001	WO			
	bw	WO	01	2	0	9	9	8	March 29, 2001	WO			
	bx	WO	01	2	1	8	3	9	March 29, 2001	WO			
	by	WO	01	2	9	2	6	1	April 26, 2001	WO			
	bz	WO	01	2	9	2	6	9	April 26, 2001	WO			
	bl	WO	01	2	0	0	4	3	March 22, 2001	WO			
OTHER ART (INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)													
	ca	"Microarrays-the 21st century divining rod?", Nature Medicine, Vol. 7, No. 6, pp. 673-679 (June 2001).											
	cb	"Heat-shock protein protection", Frank R. Sharp, et al., 1999.											
	cc	"Heme Oxygenase-1 Is Induced in Glia Throughout Brain by Subarachnoid Hemoglobin", Christopher P. Turner et al, Journal of Cerebral Blood Flow and Metabolism, 18: 257-279 (1998).											
	cd	"Apoptotic features of selective neuronal death in ischemia, epilepsy and gp120 toxicity", C. Charriaut-Marlangue, et al., TINS, Vol. 19, No. 3, pp. 109-114 (1996).											
	ce	"Inflammatory Mediators and Stroke: New Opportunities for Novel Therapeutics", Barone et al, Journal of Cerebral Blood Flow and Metabolism, 19: 819-834 (1999).											
	cf	"Induction of hypoxia-inducible factor-1 (HIF-1) and its target genes following focal ischaemia in rat brain", European Journal of Neuroscience, Vol. 11, pp. 4159-4170 (1999).											
	cg	"Oxidative Stress in Brain Ischemia", Seth Love, Brain Pathology, 9: 119-131 (1999).											
	ch	"Pathophysiology of Status Epilepticus", Nathan B. Fountain; Journal of Clinical Neurophysiology, 12 (4): 326-342 (1995).											
	ci	"Inflammation and Stroke: Putative Role for Cytokines, Adhesion Molecules and iNOS in Brain Response to Ischemia", Gregory del Zoppo et al; Brain Pathology, 10: 95-112 (2000).											
	cj	"Expression of Hypoxia-inducible Factor-1: Mechanisms and Consequences", Gregg L. Semenza; Biochemical Pharmacology, Vol. 59, pp. 47-53 (2000).											
	ck	"Pathophysiology of the Ischemic Penumbra-Revision of a Concept", Tobias Back; Cellular and Molecular Neurobiology, Vol. 18, No. 6 (1998).											
	cl	"The Stress Gene Response in Brain", Stephen M. Massa et al; Cerebrovascular and Brain Metabolism Reviews, 8: 95-138 (1996).											
	cm	"Exploring the new world of the genome with DNA microarrays", Patrick O. Brown et al; nature genetics supplement, Vol. 21, pp. 33-37, (January 1999).											
	cn	"Discovery and analysis of inflammatory disease-related genes using cDNA microarrays", Renu A. Heller et al; Proc. Natl. Acad. Sci. USA, Biochemistry, Vol. 94, pp. 2150-2155 (March 1997).											
	co	"Multiple Molecular Penumbras Following Focal Cerebral Ischemia", Frank R. Sharp et al; Journal of Cerebral Blood Flow and Metabolism (April 5, 2000).											
	cp	"Systematic changes in gene expression patterns following adaptive evolution in yeast", Tracy L. Ferea et al, Proc. Natl. Acad. Sci. USA, Evolution, Vol. 96, pp. 9721-9726 (August 1999).											
	cq	"Molecular Classification of Cancer: Class Discovery and Class Prediction by Gene Expression Monitoring", Golub, T.R. et al, Science, Vol. 286 (5439) pp. 531-537 (October 15, 1999).											
	cr	"Distinct types of diffuse large B-cell lymphoma identified by gene expression profiling", Ash A. Alizadeh et al, Nature, Vol. 403, pp. 503-511 (Feb. 3, 2000).											
	cs	"Serum S-100 and Neuron-Specific Enolase for Prediction of Regaining Consciousness After Global Cerebral Ischemia", Patrick Martens, et al; Stroke, pp. 2363-2366 (November 1998).											
	ct	"Time Course of Serum Neuron-Specific Enolase A Predictor of Neurological Outcome in Patients Resuscitated From Cardiac Arrest", Waltraud Schoerhuber, et al; Stroke, pp. 1598-1603 (August 1999).											

RECEIVED
MAR 15 2002
TO 3100 MAIL ROOM



PTO FORM 4/92		Page 1 of 3
FORM PTO - 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		ATTORNEY DOCKET. 10738-29 APPLICANT: SHARP, Frank et al FILING DATE: November 28, 2001 FOR: BLOOD ASSESSMENT OF INJURY
		SERIAL NO. 09/996,275 GROUP: 3737
cu	"Genomic Views Of The Immune System", Louis M. Statudt et al, Annu. Rev. Immunol., 18: 829-859 (August 2000).	
cv	"Class Prediction and Discovery Using Gene Expression Data", Donna K. Slonium et al (Sept. 30, 1999).	
cw	"Significance analysis of microarrays applied to the ionizing radiation response", Virginia Goss Tusher et al, PNAS, Vol. 98, No. 9, pp. 5116-5121 (April 24, 2001).	
cx	"Cluster analysis and display of genome-wide expression patterns", Michael B. Eisen et al, Proc. Natl. Acad. Sci. USA, Genetics, Vol. 95, pp. 14863-14868 (December 1998).	
cy	"Genomics and human disease-variations on variation", Patrick O. Brown; nature genetics, Vol. 18 (February 18, 1998).	
cz	"Probing Lymphocyte Biology by Genomic-Scale Gene Expression Analysis", Ash Alizadeh et al; Journal of Clinical Immunology, Vol. 18, No. 6 (1998).	

EXAMINER DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

731417v1
10738-29

RECEIVED
MAR 15 2002
TC 3700 MAIL ROOM